University of Pikeville Institutional Review Board (IRB)

Exempt Application

Primary Researcher:

Name: Email:



Mailing address:City:



State: Zip: Phone:



Names of Additional Researchers for this study:

Name: Email:



Mailing address:City:



State: Zip: Phone:



Name: Email:



Mailing address:City:



State: Zip: Phone:



Name: Email:



Mailing address:City:



State: Zip: Phone:



Name: Email:



Mailing address:City:



State: Zip: Phone:



Select the exemption category you believe applies to this project (see category descriptors at end of form).

Category 1  Category 3  Category 5

Category 2  Category 4  Category 6

Title of Research: Click here to enter text.

Brief description of basic research questions:

Click here to enter text.

Briefly describe the subjects (age, ethnicity, and other relevant demographic information):

Click here to enter text.

Describe how subjects will be recruited, any incentives for participation, and how to plan on ensuring confidentiality:

Click here to enter text.

Start date of research: Completion date of research:



If human subjects are involved:

Anticipated date of first contact with participants:



Anticipated last date of contact with participants:



Agreement to follow IRB procedures. My signature below indicates that I understand and agree to adhere to relevant principles and ethical responsibilities expected by my profession. I have attached copies of the surveys and questionnaires (if being used). I understand and agree to adhere to general principles required for the protection of human subjects. I agree to immediately report any incidents, major irregularities, and major changes in this research to the IRB Chair. I agree to request a Continuing Review from the IRB if the research is still in progress 12 months after the start date shown above.

Signed:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signed:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: Name:



Date: Date:



**Primary Researcher**  **Research Sponsor (if necessary)**

**Categories for Exempt Review**

1. Research will be conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on general and special education instructional strategies, or (b) research on the effectiveness or the comparison among instructional techniques, curricula, or classroom management methods.
2. Research will involve the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, **unless** the subjects can be identified directly or through identifiers linked to the subjects **and** disclosure of responses could reasonably place the subjects at risk or criminal or civil liability or be damage the subjects' financial standing, employability or reputation.
3. Research will involve the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not exempt under item (2) above, **if** (a) the subjects are elected or appointed public officials or candidates for public office; **or** (b) Federal statute(s) require(s) that the confidentiality or other personally identifiable information will be maintained throughout the research and thereafter.
4. Research will involve the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified directly or through identifiers linked to the subjects.
5. Research and demonstration projects which are conducted by or subject to the approval of federal agency sponsoring the research, and which are designed to study, evaluate or otherwise examine (a) public benefit or service programs, (b) procedures for obtaining benefits or services under those programs, (c) possible changes in or alternatives to those programs or procedures, or (d) possible changes in methods or levels of payment for benefits or services under those programs.
6. Taste and food quality evaluation and consumer acceptance studies, if (a) wholesome foods without additives are consumed, or if (b) a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture